nehta

PCEHR Registration Service Technical Service Specification v1.2

22 July 2015

Approved for external use Document ID: NEHTA-1967:2015

National E-Health Transition Authority Ltd

Level 25, 56 Pitt Street Sydney, NSW 2000 Australia www.nehta.gov.au

Disclaimer

The National E-Health Transition Authority Ltd (NEHTA) makes the information and other material ('Information') in this document available in good faith but without any representation or warranty as to its accuracy or completeness. NEHTA cannot accept any responsibility for the consequences of any use of the Information. As the Information is of a general nature only, it is up to any person using or relying on the Information to ensure that it is accurate, complete and suitable for the circumstances of its use.

Document control

This document is maintained in electronic form and is uncontrolled in printed form. It is the responsibility of the user to verify that this copy is the latest revision.

Copyright © 2014 -2015 National E-Health Transition Authority Ltd

This document contains information which is protected by copyright. All Rights Reserved. No part of this work may be reproduced or used in any form or by any means—graphic, electronic, or mechanical, including photocopying, recording, taping, or information storage and retrieval systems—without the permission of NEHTA. All copies of this document must include the copyright and other information contained on this page.

Acknowledgement

The National E-Health Transition Authority is jointly funded by the Australian Government and all State and Territory Governments.

Document information

Key information

Owner	Head of Delivery		
Contact for enquiries	NEHTA Help Centre		
	t: 1300 901 001		
	e: <u>help@nehta.gov.au</u>		

Product version history

Product version	Date	Release comments
1.0	May 2012	Initial release
1.1	November 2012	Updated to reflect policy changes enabling healthcare organisations to register consumers for a PCEHR. See release note for full details.
1.2	July 2015	Updated PCEHR Error Codes and registerPCEHR. Appendix A - XSD and WSDL are now externally referenced.

Table of contents

1	Intro	duction5	5
	1.1	Purpose	5
	1.2	Intended audience 5	5
	1.3	Context5	5
	1.4	Conformance points ϵ	5
	1.5	Relationship to eHealth Interoperability Framework7	7
	1.6	Document map	7
2	Stan	lards and technology platform8	3
3	Com	utational viewpoint9)
	3.1	Service interface realisation	
		3.1.1 SOAP signature)
		3.1.2 registerPCEHR)
4	Info	mation viewpoint19)
	4.1	Information data type realisation 19)
		4.1.1 Common Header 19)
	4.2	Common response status 23	3
5	Engi	eering viewpoint	5
	5.1	Discovery services	5
Арре	endix	A XSD and WSDL	1
Acro	nyms		3
	-	s	•

1 Introduction

1.1 Purpose

This document provides an implementable technical interface specification for the Registration Service. It provides a set of the functions required to register a PCEHR.

This technical service specification should be read in conjunction with the *PCEHR Registration Service Logical Service Specification* [REG-LSS].

1.2 Intended audience

This document is intended primarily for:

- Developers and implementers of software products that seek to interact with the personally controlled electronic health record (PCEHR) system (normative)
- Jurisdictional eHealth programs (informative)
- The Australian Health Informatics Standards development community (informative)

This is a technical document which makes use of the UML2.3 standard [UML2010]. It is assumed that the audience is familiar with:

- UML and service-oriented architecture concepts and patterns
- The PCEHR Concept of Operations, September 2011 release [PCEHR-CON-OPS]
- RM-ODP (Reference Model of Open Distributed Processing) reference model. [RM-ODP]
- ATS 5820-2010 E-health Web Services Profile [ATS 5820-2010]
- ATS 5821-2010 E-health XML Secured Payload Profiles [ATS 5821-2010].

1.3 Context

The *PCEHR Registration Logical Service Specification* [REG-LSS] presents a platform-independent specification of the PCEHR Registration Service. This technical service specification presents an implementable interface that is supported by the PCEHR system and can be used by systems integrating to the PCEHR system.

Figure 1 shows how the set of operations addressed within this specification fit into the broader set of PCEHR functionality.

Authentication Interfaces	Consumer P Access General Information Manage Portal Account Manage Participation Registration Interfaces	ortal Manage Privacy Access PCEHR Access Support Services B2B Gate Authorisation I	Access General Information NASH Authentication Access a PCEHR	er Portal Access Support Services NHSPD Search	Call Centre eneral Enquiries Registration Assistance Complaints Management Call Management Call Management Uliew and Reporting Interfaces	Access Channels
		Service Co-ord	lination			
Service Registration	Service Discovery	Service Orche	stration	Enforce Access Control and Ensure Audit	Service Monitoring	
Participation & Authorisation Service	Repository Services	Audit Se	rvice	View Service	Report Service	Core PCEHR Services
Registration	Document Indexing	Add Audit E	Entry	Index View	Operational Reporting	ore PCEH Services
Record Access	Internal Document Validation	View Audit Su	immary	Change History View	Uptake Reporting	ore Se
Account Management	Repository Access Services	Request Full A	udit Trail	Other Views	KPI Reporting	0
		Rules based real ti	ime analysis	Atomic Data model	ETL Data Warehouse Management	
National Repository			Conform	nant Repositories		Repositories
Shared Health Summaries Referra	s / Specialist Letters Consumer	Entered Information				osite
	ription & Dispense Child eHe	ealth Information*	Medicare Diagno	stic Service Diagnostic Service	Regional State/Territory Other	Rep
Discharge Summaries Med	icare Information eDiagnos	sitcs Information			epositories Repositories Repositories)
Participation & Logical Service Specifications Registration Service LSS Registration Service Service Specifications Technical Service Specifications Registration Service TSS Reconstruction Service TSS	rd Account Service LSS rd Account Account Account Service TS Solution Design	Record Document N	View View Servic LSS View Servic Ckaging for Rep. TSS		*Child eHealth Information available via 0 Portal and B2M mobile app channel (not Specifications functional positioning rev 5,	depicted)

Figure 1 – PCEHR functions addressed

1.4 Conformance points

This specification contains conformance points that identify normative requirements that are to be met by identified members of the Registration Service interface user system roles (as described in the logical service specification) in order to comply with this specification when interacting with the Registration Service interface.

Conformance points include requirements on a party (Service Invoker) invoking the service and the party (Registration Service Provider) providing the service.

Any capability required to meet a conformance point **SHALL** be considered part of the requirements to be met under this specification.

Conformance points are identified within this document by the means of the following notation:

REGS-T 0 This is an example only. Conformance points **SHALL** be numbered and contain an identifier of 'REGS-T' which identifies them as being applicable to the Registration Service technical service specification.

The keywords **SHALL**, **SHALL NOT**, **SHOULD** and **SHOULD NOT** in this document are to be interpreted as described in IETF's Request for Comment (RFC) 2119 [RFC2119].

Note that the conformance point numbering is non-consecutive in some sections; however, numbers remain uniquely assigned to each conformance points.

1.5 Relationship to eHealth Interoperability Framework

This specification has been produced in accordance with the eHealth Interoperability Framework [EIF], which considers three layers of abstraction and five viewpoints. The viewpoints relevant to this logical service specification are each covered in a separate section.

1.6 Document map

Figure 2 shows how this document and other PCEHR artefacts are grouped according to the eHealth Interoperability Framework layers of abstraction and viewpoints.

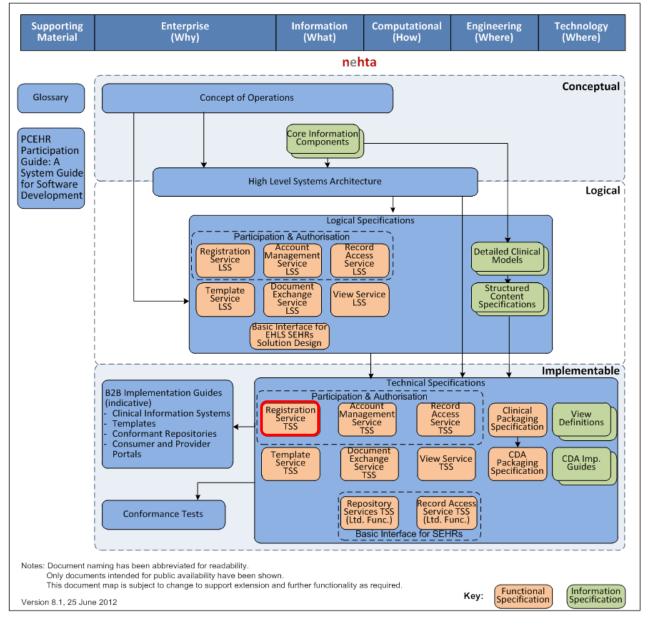


Figure 2 – Document map

2 Standards and technology platform

A standards and technology platform is a collection of standards and technologies that may be used collectively to realise an implementation of one or more service interfaces specified within a logical service specification.

A single service interface within a logical specification must be realised fully by a single technology platform. However, each service interface specified within a logical specification may be realised wholly on different standards and technology platforms.

The technology platform for this technical service specification is comprised of interaction through web service interfaces that conform to identified elements of *Australian Technical Specification 5820-2010 E-health Web Services Profile* [ATS 5820-2010].

This specification depends on the following infrastructure services:

- Healthcare Identifiers (HI) Service for identification of healthcare provider organisations (HPI-O), healthcare provider individuals (HPI-I), and the subject of care – an individual identified by an individual health identifier (IHI).
- The National Authentication Service for Health (NASH) compliant certificate for the provision of X.509 certificates used for signing and encryption.

Conformance points

The following conformance points define the application of the e-health web services profile [ATS 5820-2010] to service interactions:

- **REGS-T 1** All implementations **SHALL** conform to the web services base profile from ATS 5820-2010 for all web service invocations.
- **REGS-T 2** All implementations **SHALL** implement the TLS Security Profile from the Standards Australia ATS 5820-2010 for all web service invocations.

3 Computational viewpoint

The computational viewpoint addresses how the service interfaces and service operations defined in the logical service specification map to the operation and transport specifications provided by the standards and technology platform.

3.1 Service interface realisation

This section shows the service interfaces defined in the logical service specification and specifies how these are realised on the chosen technology platform.

Table 1 shows how the logical operations are realised in this technical service specification.

Table 1 - Mapping between logical and technical service operations

registerPCEHR
Not applicable
Not applicable
Not applicable

3.1.1 SOAP signature

Conformance points

REGS-T 3 The Service Invoker and Service Provider **SHALL** include a transmission signature (section 4.1.1.3), containing a signed attestation of elements contained within the SOAP message, on all SOAP Request and Response messages, except where the response contains a SOAP fault.

- **REGS-T 4** The Service Invoker and Service Provider **SHALL** perform the signature using a certificate that asserts the same identity as that asserted in the TLS connection.
- **REGS-T 5** The Service Provider **SHOULD** respond to an invalid transmission signature as defined in ATS 5820-2010 by rejecting the entire message and respond with an error.

3.1.2 registerPCEHR

Figure 3 shows the process for registering a PCEHR for an individual or for a dependant.

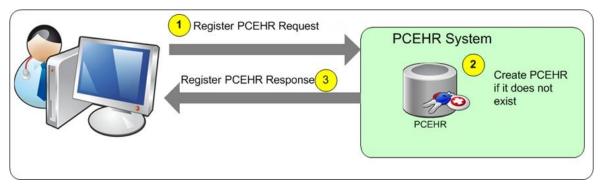


Figure 3 – registerPCEHR Conceptual interaction view

3.1.2.1 Actors and roles

Role 1: register Service Invoker

The *registerPCEHR Service Invoker* role represents the party responsible for invoking the *registerPCEHR* operation. This role will typically be realised by a clinical information system (CIS) or contracted service provider (CSP). This role will be referred to as the *Service Invoker*.

Role 2: register Service Provider

The *registerPCEHR Service Provider* role is the party responsible for fulfilling the *registerPCEHR* request. This role will be realised by the PCEHR system. This role will be referred to as the *Service Provider*.

3.1.2.2 Pre-conditions

Conformance points

- **REGS-T 6** A mutually authenticated encrypted connection **SHALL** be established between the Service Invoker (CIS and CSP) and Service Provider in conformance with the TLS Security Profile set out in ATS 5820-2010.
- **REGS-T 7** The Service Invoker (CIS and CSP) **SHALL** have the verified IHI of the individual that the record relates to.

3.1.2.3 Post-conditions

Conformance points

REGS-T 8 The *Service Provider* **SHALL** return a response indicating whether a record has been created.

REGS-T 9 In case of an error or validation failure, the *Service Provider* **SHALL** return a response with an appropriate error message. For a list of possible Response Codes please refer to section 4.2, Table 2.

3.1.2.4 Interaction

Figure 4 shows the interaction between an example *Service Invoker (CIS or CSP)* and *Service Provider*.

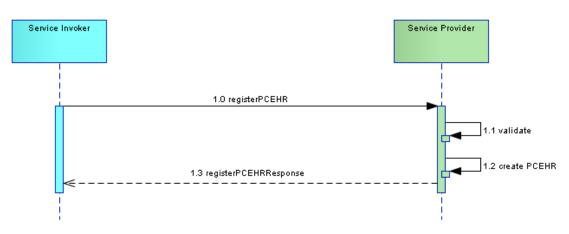


Figure 4 – registerPCEHR – Sequence diagram

Conformance points

- **REGS-T 10** This operation **SHALL** be realised as a synchronous query between the Service Invoker system (CIS and CSP) and the Service Provider.
- **REGS-T 11** The response **SHALL** be returned on the same software communication connection and within a timely manner considered appropriate for user interaction.

3.1.2.5 Inputs, outputs and faults

This section details the data that is submitted to the service as an input, the response returned and the details of any faults. The data types are realised as XSDs (see Appendix A).

See Appendix A for details of the WSDL definition for this operation and the XML Schema definitions for the underlying data types.

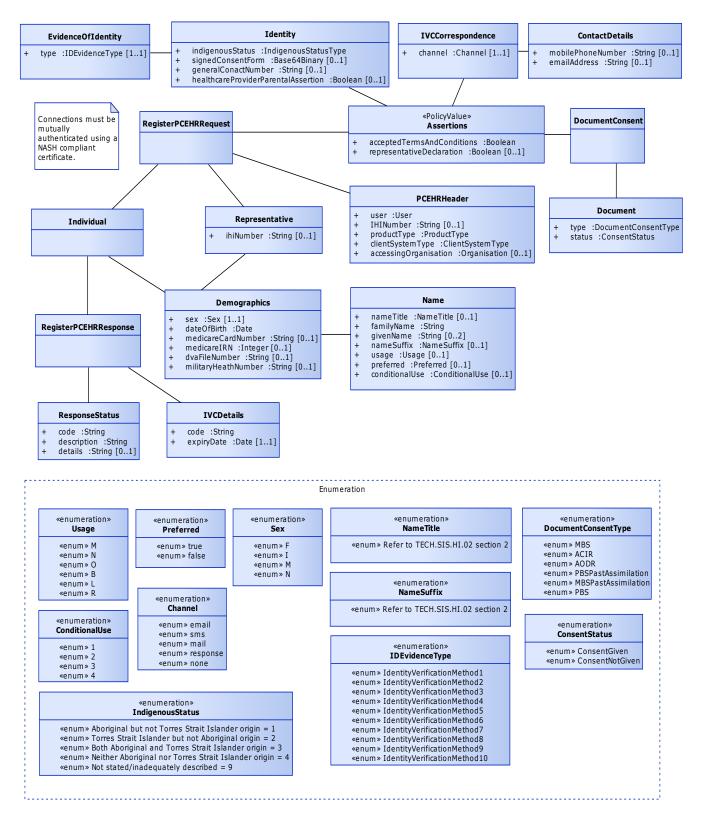


Figure 5 – registerPCEHR - Operation data view

Input messageString

Element Name	Туре	Card- inality	Remarks
registerPCEHR	Grouping	11	
representative	Grouping	01	Representative ihiNumber or demographics
ihiNumber	String	01	The Representative's IHI number
demographics	Grouping	01	
name	Grouping	11	
nameTitle	String	01	Refer to TECH.SIS.HI.02 section 2
familyName	String	11	Representative surname
givenName	String	02	Representative given names
nameSuffix	String	01	Refer to TECH.SIS.HI.02 section 2
usage	String	01	Refer to TECH.SIS.HI.02 section 2
preferred	String	01	Flag if name is preferred name
conditionalUse	String	01	Refer to TECH.SIS.HI.02 section 2
/name	Grouping		
sex	String	11	Values("F", "I", "M", "N")
dateOfBirth	Date	11	
medicareCardNumber	String	01	Representative Medicare Card Number
medicareIRN	Integer	01	Representative Reference Numbe
dvaFileNumber	String	01	Representative DVA File Number
militaryHealthNumber	String	01	Representative Military Health Number
/demographics	Grouping		
/representative			
individual		01	Individual's ihiNumber provided in header) or demographics
demographics	Grouping	11	
name	Grouping	11	
nameTitle	String	01	Refer to TECH.SIS.HI.02 section 2
familyName	String	11	Individual surname
givenName	String	02	Individual given names
nameSuffix	String	01	Refer to TECH.SIS.HI.02 section 2
usage	String	01	Refer to TECH.SIS.HI.02 section 2

Element Name	Туре	Card- inality	Remarks	
preferred	String	01	Flag if name is pr	eferred name
conditionalUse	String	01	Refer to TECH.SI	S.HI.02 section 2
/name	Grouping			
sex	String	11	Values("F", "I", "I	M", "N")
dateOfBirth	Date	11		
medicareCardNumber	String	01	Individual Medica	re Card Number
medicareIRN	Integer	01	Individual Refere	nce Number
dvaFileNumber	String	01	Individual DVA Fi	le Number
militaryHealthNumber	String	01	Individual Military	y Health Number
/demographics				
/individual				
assertions		11		
identity	Grouping	11		
evidenceOfIdentity	Grouping	11		
type	String	11	Values	Meaning
			IdentityVerification Method1	Attending third or more consultation and Medicare/DVA card
			IdentityVerification Method2	Attending hospital with their clinical referral and Medicare/DVA card
			IdentityVerification Method3	Attending emergend department with PHOTO ID with Medicare/DVA card
			IdentityVerification Method4	Having prescriptions filled on three or more occasions in the past year and Medicare/DVA card
			IdentityVerification Method5	Enrolled and attending Aboriginal Medical Service and Medicare/DVA card
			IdentityVerification Method6	Attending third or more consultation and has a My eHealth Record
			IdentityVerification Method7	Identity verified by referee consistent with My eHealth Record requirement

Element Name	inality		Remarks		
			Identity Method		Resident of Aged Care facility and Medicare/DVA card
			Identity Method	/Verification 9	100pts of documentary evidence consistent with PCEHR Consumer Identity Framework
			Identity Method		Other criteria approved by the System Operator
/evidenceOfIdentity	Grouping				
indigenousStatus	String	11	Value	Meaning	
			1	Aboriginal b Islander ori	out not Torres Strait gin
			2	Torres Stra Aboriginal o	it Islander but not origin
			3	Both Aborig Islander ori	jinal and Torres Strait gin
			4	Neither Abo Strait Islan	original nor Torres der origin
			9	Not stated/ described	inadequately
signedConsentForm	Base64Bin ary	01	form (Suppo	maximum s	f signed consent size 200kb). mats: PDF, JPG, IFF, PNG.
generalConactNumber	String	01			per must be:
					umeric digits;
					numeric digits; and
			(0- foll	9), spaces	nly numeric digits and/or the ial characters: `+'
			• Set	to null	
			Notes:		
			registr	ation, the o	cord for Self" contact number will the individual's
			Else ((numbe	Child)" regis er will be st	cord for Someone stration, the contac ored against the sentative's IHI.

Element Name	Туре	Card- inality	Remarks
healthcareProviderParentalAsserti on	Boolean	01	Healthcare Provider assertion flag if Authorised Representative has parental responsibilities.
/identity	Grouping		
documentConsent	Grouping	01	
document	Grouping	1*	
type	String	11	Values ("MBS","ACIR","AODR","PBSPastAss milation","MBSPastAssimilation","PB S")
status	String	11	Values("ConsentGiven","ConsentNot Given")
/document	Grouping		
/documentConsent	Grouping		
ivcCorrespondence	Grouping	11	
channel	String	11	Values ("email","sms","response","mail","n ne")
contactDetails	Grouping	01	
mobilePhoneNumber	String	01	Validate with " $(+?)(61)(4[0-9]{8})$ ^([0+])(4[0-9]{8})$".$
			I.e. Starting with 04; Ten digits for mobile number part; Optionally preceeded with international dialling prefix and country code.
emailAddress	String	01	Validate with " $[a-z0-9!#$%&'*+/=?^_`{ }~-]+(?:\.[a-z0-9!#$%&'*+/=?^_`{ }~-]+)*@(?:[a-z0-9](?:[a-z0-9-]*[a-z0-9])?.)+[a-z0-9](?:[a-z0-9-]*[a-z0-9])?"Validation according to RFC 2822.$
/contactDetails	Grouping		
/ivcCorrespondence	Grouping		
acceptedTermsAndConditions	Boolean	11	Flag indicating if terms and conditions have been accepted
representativeDeclaration	Boolean	01	Parent declaration flag is mandatory if representative block is given
/assertions	Grouping		
/registerPCEHR	Grouping		

Conformance points

REGS-T 12	The Service Invoker (CIS and CSP) SHALL set the accessingOrganisation to the HPI-O of the organisation attempting to register the PCEHR.
REGS-T 13	The Service Invoker SHALL set the individual demographics if the IHI number is not passed in the PCEHRHeader.
REGS-T 14	The Service Invoker SHALL set the representative ihiNumber if the representative demographics are not passed in the request.
REGS-T 15	The Service Invoker SHALL set the representative demographics if the representative ihiNumber is not passed in the request.
REGS-T 16	The Service Invoker SHALL set the representative flag when registering for a child or dependant.
REGS-T 36	If ATSI status is acquired manually, the capture SHOULD be compliant with <i>National Best Practice Guidelines for Collecting Indigenous Status in Health Data Sets</i> "Part A: Asking the question" [AIHW].
REGS-T 37	If the Service Invoker supplies representative details, the representative block SHALL specify Medicare card and IRN and SHALL NOT specify DVA File Number or Military Health Number.

3.1.2.6 Output message

The registerPCEHR operation will return the PCEHR system common response fields.

Element Name	Туре	Card- inality	Remarks
registerPCEHRResponse	Grouping	11	
responseStatus	Grouping	11	
Common Response Status			See Common Response Status in Section 4.2. Refer to Table 8 ResponseStatus Responses for further details.
/responseStatus	Grouping		
individual		01	
ihiNumber	String	11	The Individual's IHI number
demographics	Grouping	11	
name	Grouping	11	
nameTitle	String	01	Refer to TECH.SIS.HI.02 section 2
familyName	String	11	Individual surname
givenName	String	02	Individual given names
nameSuffix	String	01	Refer to TECH.SIS.HI.02 section 2
usage	String	01	Refer to TECH.SIS.HI.02 section 2

Please refer to section 4.2 for details and response codes.

Element Name	Туре	Card- inality	Remarks
preferred	String	01	Flag if name is preferred name
conditionalUse	String	01	Refer to TECH.SIS.HI.02 section 2
/name	Grouping		
sex	String	11	Values("F", "I", "M", "N")
dateOfBirth	Date	11	
medicareCardNumber	String	01	Individual Medicare Card Number
medicareIRN	Integer	01	Individual Reference Number
dvaFileNumber	String	01	Individual DVA File Number
militaryHealthNumber	String	01	
/demographics			
/individual			
ivcDetails	Grouping	01	
code	String	11	Identity verification code
expiryDate	Date	11	The expiry date of the code
/ivcDetails	Grouping		
/registerPCEHRResponse	Grouping		

Service fault

The following conformance points apply to a provider of this service when creating a service fault.

Conformance points

REGS-T 17 The Service Provider **SHOULD** respond with a fault containing an se:standardError element in the circumstances defined for this fault by ATS 5820-2010.

4 Information viewpoint

The information viewpoint addresses common information models that are used in the service operations defined in the Computational Viewpoint.

Note: The error code tables may be extended as development of the PCEHR system progresses.

4.1 Information data type realisation

This section shows the logical service specification [REG-LSS] information data type realised in this technical specification.

4.1.1 Common Header

Common Header is realised into the SOAP Header on web service calls as:

- WS-Addressing Header
- PCEHRHeader
- Timestamp
- Signature

4.1.1.1 WS-Addressing header (Request)

Tahla 3	WS-Addressing	haadar	ronuect
Table S	WS-Auuressing	neauei	request

Туре	Cardinality	Remarks
	11	
UUID	11	Unique id for the message.
		E.g. uuid:95b48e68-5dfc-4dbd-ab05- aaa855cec03f
anyURI	11	Value: e.g. http://www.w3.org/2005/08/addressing/anon ymous
anyURI	11	Identifier (full namespace) of the virtual service being invoked.
	UUID anyURI	I1 UUID 11 anyURI 11

Conformance points

REGS-T 18 The *Service Invoker* **SHALL** set these values in accordance with ATS 5820-2010 Section 6 - Metadata.

4.1.1.2 WS-Addressing header (Response)

Element Name	Туре	Cardinality	Remarks
WS Addressing		11	
MessageId	UUID	11	Unique id for the message.
			E.g. uuid:95b48e68-5dfc-4dbd-ab05- aaa855cec03f
RelatesTo	UUID	11	MessageId of the original service request.
/WS Addressing			

Table 4 WS-Addressing header response

Conformance points

REGS-T 19 The *Service Provider* **SHALL** set these values in accordance with ATS 5820-2010 Section 6 - Metadata.

4.1.1.3 Timestamp

Table 5 Timestamp

Element Name	Туре	Cardinality	Remarks
timestamp		11	
created	dateTime	11	Time at SOAP message creation. Inclusive of Date, Time and UTC Timezone.
			E.g. 2011-10-25T03:06:13Z
expires	dateTime	01	For future use.
/timestamp	-	-	-

4.1.1.4 Signature

Element Name	Туре	Cardinality	Remarks
signature		11	
signature	ds:signature	11	A signed attestation of key SOAP message elements using the ATS 5821 specification.
/signature	-	-	-

Conformance points

REGS-T 20	The elements signed by the Transmission Signature by all parties SHALL include the SOAP Body element.
REGS-T 21	The elements signed by the Transmission Signature by the Service Invoker SHALL also include the PCEHR Header element (as defined in section $4.1.1.5$).
REGS-T 22	The elements signed by the Transmission Signature SHOULD include the Transmission Timestamp element (as defined in section 4.1.1.3).
REGS-T 23	The Service Invoker and Service Provider SHALL calculate the ds:DigestValue as specified in "Section 4. XML Signature Profile" of ATS 5821-2010 prior to the application of MTOM/XOP. The ds:SignedInfo element type SHALL be realised in conformance with "Section 4. XML Signature Profile" as specified in ATS 5821-2010.
REGS-T 24	The fragment identifier used within the ds:Reference element, specified in "Section 4. XML Signature Profile" of ATS 5821-2010, SHALL refer to the "id" attribute specified in Section 3.3 of W3C-XML-1.1 of the element referenced [W3C-XML].
REGS-T 25	The ds:signature element type SHALL be realised in conformance with "Section 4. XML Signature Profile" as specified in ATS 5821-2010.

4.1.1.5 PCEHRHeader

PCEHRHeader is used for all interactions with the PCEHR system.

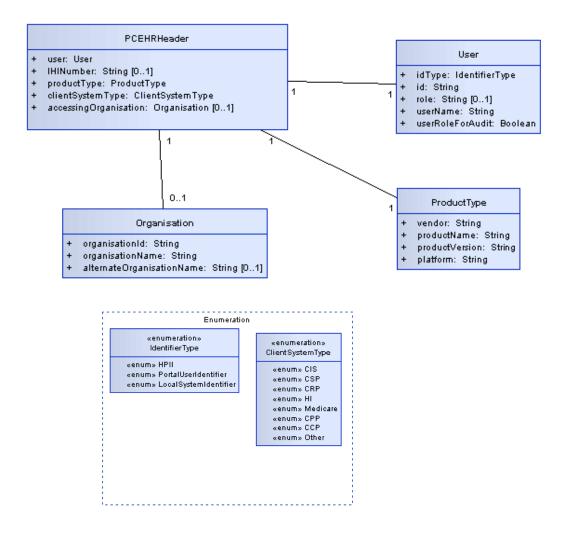


Figure 6 – PCEHRHeader

Element Name	Туре	Cardinality	Remarks
PCEHRHeader		11	
User		11	
IDType	IdentifierT ype	11	Values ("HPII", "PortalUserIdentifier", "LocalSystemIdentifier")
ID	String	11	Portal User Identifier, 16-digit HPI-I number or other user ID
role	String	01	Optional user role
userName	String	11	User's name
useRoleForAudit	Boolean	11	If true, PCEHR will use sourceSystemUserRole as the user name for audit, else PCEHF will use sourceSystemUserName as the user name for audit
/User			
ihiNumber	String	01	PCEHR individual's 16-digit IHI number
productType		11	
vendor	String	11	Client system's vendor name
productName	String	11	Client system's product name
productVersion	String	11	Client system's product version
platform	String	11	Client system's platform
/productType			
clientSystemType	String	11	Values ("CCP","CPP", "CIS", "CSP", "CRP", "HI", "Medicare", "Other")
accessingOrganisation		01	
organisationID	String	11	The 16-digit healthcare organisation identifier (HPI-O)
organisationName	String	11	Healthcare organisation name
alternateOrganisationName	String	01	Alternate healthcare organisation name
/accessingOrganisation			

Table 7 PCEHRHeader

Conformance points

REGS-T 26	The Service Invoker (CIS, CSP and Provider Portal) SHALL set the accessingOrganisation to the accessing organisation attempting to query the PCEHR.
REGS-T 27	 The Service Invoker SHALL set the User.ID to either: Preferably, if known, the 16-digit HPI-I of the provider attempting to access the PCEHR; or Alternatively a local identifier of the provider attempting to access the PCEHR.
REGS-T 28	The Service Invoker SHALL set the User.IDType to the relevant value to identify the type of User.ID.
REGS-T 29	The Service Invoker (CIS, CSP) SHALL set the productType.vendor to the vendor name of the client system.
REGS-T 30	The Service Invoker (CIS, CSP) SHALL set the productType.productName to the product name of the client system.
REGS-T 31	The Service Invoker (CIS, CSP) SHALL set the productType.productVersion to the product version of the client system.
REGS-T 32	The Service Invoker (CIS, CSP) SHALL set the productType.platform to the client system vendor.
REGS-T 33	The Service Invoker (CIS) SHALL set the clientSystemType to CIS.
REGS-T 34	The Service Invoker (CSP) SHALL set the clientSystemType to CSP.

4.2 Common response status

All PCEHR system operations will return common response fields.



Figure 7 – ResponseStatus

Table 8 R	lesponseStatus	Responses
-----------	----------------	-----------

Element Name	Туре	Cardinality	Remarks
ResponseStatus		11	
code	String	11	Status code for the result of the transaction
description	String	11	Brief status description
details	String	01	Additional detail of the response
/ ResponseStatus			

Conformance points

REGS-T 35 The Service Provider **SHALL** set the appropriate code from Table 9 for any business failure.

Response codes

Table 9 Response codes

Code	Description
PCEHR_SUCCESS	SUCCESS
PCEHR_ERROR_0524	Attachment exceeds maximum supported size
PCEHR_ERROR_0525	Request message must be XOP/MTOM
PCEHR_ERROR_0526	Attachment MIME type is not supported
PCEHR_ERROR_9001	Evidence of identity has not been verified by provider
PCEHR_ERROR_9003	The latest terms and conditions have not been accepted
PCEHR_ERROR_9004	IVC Correspondence Channel has not been specified
PCEHR_ERROR_9005	Invalid IVC Correspondence Channel
PCEHR_ERROR_9007	Representative Declaration is required for assisted registration
PCEHR_ERROR_9008	Individual PCEHR already exists
PCEHR_ERROR_9009	Child PCEHR already exists
PCEHR_ERROR_9010	Individual cannot be less than 14 years of age
PCEHR_ERROR_9011	There is no relationship known to Medicare between the adult and child
PCEHR_ERROR_9012	Child cannot be older than 18 years of age
PCEHR_ERROR_9013	There must be a 14-year age gap between parent and child
PCEHR_ERROR_9016	Representative IHI number or demographics have not been specified
PCEHR_ERROR_9017	Individual IHI number or demographics have not been specified
PCEHR_ERROR_9018	Indigenous status has not been specified
PCEHR_ERROR_9019	IVC mail correspondence is currently not supported
PCEHR_ERROR_9020	Mobile phone number is required for IVC SMS correspondence
PCEHR_ERROR_9021	Email address is required for IVC email correspondence
PCEHR_ERROR_5006	No unique active IHI found
PCEHR_ERROR_0101	Invalid family name
PCEHR_ERROR_0102	Invalid given name
PCEHR_ERROR_0103	The birth year must not be less than 1800
PCEHR_ERROR_0104	The date of birth must not be in the future
PCEHR_ERROR_0105	Invalid mobile phone number
PCEHR_ERROR_0106	Invalid email address
PCEHR_ERROR_0107	Invalid Medicare card number
PCEHR_ERROR_0108	Invalid Medicare IRN

Code	Description
PCEHR_ERROR_0109	Invalid DVA file number
PCEHR_ERROR_0134	Invalid sex
PCEHR_ERROR_0135	Invalid date of birth
PCEHR_ERROR_0140	Parental assertion flag not required

5 Engineering viewpoint

The engineering viewpoint includes definitions of mechanisms and functions to support distributed interactions between computational objects as a series of templates (i.e. patterns) for computational interactions. These, in turn, are parameterised to support a range of different policies defined in the enterprise, information or computational specifications.

Examples of such functions are:

- repository (e.g. storage and information organisation function)
- security (e.g. access control, authentication, security audit, integrity and confidentiality functions)
- network services (e.g. naming services, time services and directory)
- type repository functions.

The engineering viewpoint is relevant for those who are providing infrastructure services and functions, such as system architects, network architects, security architects and middleware specialists.

5.1 Discovery services

The location of the register operations will be shared between parties before interaction. Dynamic discovery mechanisms will not be provided.

Appendix A XSD and WSDL

A.1 Data types

Table 10 below provides the name and description of the XML schema relevant for this specification. The schemas (XSD files) are packaged with the PCEHR B2B Client Library - Schema WSDL v2.0.0 [PCEHR-B2B-LIB], available from http://www.nehta.gov.au/implementation-resources/ehealth-reference-platform/EP-1940-2014/NEHTA-1932-2014.

XML Schema	Schema description
PCEHR_RegisterPCEHR.xsd	Defines the XSD for data associated with the B2B_RegisterPCEHR.wsdl interface.
wsp-StandardError-2010.xsd	Defines the XSD for the standard error data types.
PCEHR_CommonTypes.xsd	Defines the XSD for common data types that are used by all WSDL interfaces.
PCEHR_CommonTypes_Supplementary.xsd	Defines the XSD for supplementary to the common data types that are used by all WSDL interfaces.

Table 10 XML Schemas

A.2 registerPCEHR interface

A.2.1 Interface definition

The WSDL specification that defines the registerPCEHR SOAP interface is the B2B_RegisterPCEHRInterface.wsdl. This WSDL is packaged with the PCEHR B2B Client Library - Schema WSDL v2.0.0 [PCEHR-B2B-LIB], available from http://www.nehta.gov.au/implementation-resources/ehealth-reference-platform/EP-1940-2014/NEHTA-1932-2014.

A.2.2 TLS binding

This WSDL defines the binding of the registerPCEHR based on the TLS Security Profile defined in ATS 5820–2010.

It is packaged with the PCEHR B2B Client Library - Schema WSDL v2.0.0 [PCEHR-B2B-LIB], available from <u>http://www.nehta.gov.au/implementation-</u>resources/ehealth-reference-platform/EP-1940-2014/NEHTA-1932-2014.

Acronyms

The core set of terms used within the PCEHR are specified within the *PCEHR System – Glossary* [PCEHR-GLS].

Acronym	Description
CIS	clinical information system
CSP	contracted service provider
HPI-I	Healthcare Provider Identifier - Individual
HPI-O	Healthcare Provider Identifier - Organisation
IHI	Individual Healthcare Identifier
IRN	Individual Reference Number
IVC	Identity Verification Code
LSS	logical service specification
PCEHR	personally controlled electronic health record
TLS	Transport Layer Security
TSS	technical service specification
UML	Unified Modeling Language
WSDL	Web Service Definition Language
WSP	Web Service Profile – Commonly used to refer to the ATS-5820 Web Service Profile.
XSD	XML Schema Definition

28 of 29

References

[AIHW]	Australian Institute of Health and Welfare, <i>National Best Practice Guidelines for Collecting Indigenous Status in Health Data Sets</i> "Part A: Asking the question", pp9,. ISBN 078 1 74024 998 0. Available from www.aihw.gov.au
[ATS 5820-2010]	Standards Australia, ATS 5820-2010 E-health Web Services Profile, March 2010. Available from <u>http://infostore.saiglobal.com/store/</u>
[ATS 5821-2010]	Standards Australia, ATS 5821-2010 E-health XML Secured Payload Profiles, March 2010. Available from <u>http://infostore.saiglobal.com/store/</u>
[EIF]	eHealth Interoperability Framework V1.0, 2 December 2011. Available from:
	http://www.nehta.gov.au/implementation-resources/ehealth-foundations/ehealth- interoperability-framework
[PCEHR-B2B-LIB]	PCEHR B2B Client Library - Schema WSDL v2.0.0, November 2014. Available from
	http://www.nehta.gov.au/implementation-resources/ehealth-reference- platform/EP-1940-2014/NEHTA-1932-2014
[PCEHR-CON-OPS]	PCEHR Concept of Operations: Relating to a Personally Controlled Electronic Health Record System v1.0.5, September 2011. Available from http://ehealth.gov.au/internet/ehealth/publishing.nsf/content/PCEHRS-Intro-toc
[PCEHR-GLS]	Personally controlled electronic health record system: Glossary of Terms, April 2014. Available from: http://www.ehealth.gov.au/internet/ehealth/publishing.nsf/content/glossary
[REG-LSS]	NEHTA, PCEHR Registration Service - Logical Service Specification v1.2, July 2015. Available from <u>https://www.nehta.gov.au/implementation-resources/national-infrastructure/pcehr-b2b-gateway-services</u>
[RFC2119]	IETF, <i>RFC 2119: Keywords for use in RFCs to Indicate Requirement Levels</i> , S. Bradner, March 1997. Available from <u>http://ietf.org/rfc/rfc2119.txt</u>
[RFC2822]	IETF, <i>RFC 2822: Internet Message Format</i> , P. Resnick, April 2001. Available from http://ietf.org/rfc/rfc2822.txt
[RM-ODP]	Reference Model of Open Distributed Processing, ISO/IEC 10746-3:2009. Available from http://www.iso.org/iso/home.html
[TECH.SIS.HI.02]	Department of Human Services, Healthcare Identifiers Service System Interface Specification, Common Field Processing Reference Document, May 2013. Available from <u>www.humanservices.gov.au</u>
[UML2010]	UML Version 2.3 May 2010. Available from http://www.omg.org/spec/UML/2.3/
[W3C-XML]	Extensible Markup Language (XML) 1.1 (Second Edition), September 2006. Available from <u>http://www.w3.org/TR/xml11/</u>