Australian Government

Australian Digital Health Agency

Aged Care Support Plan
My Health Record Conformance Profile

4 July 2024   v1.0

Approved for external use

Document ID: DH-3982:2024

Document information

Key information

Owner   Branch Manager, Customer Experience and Products

Contact for enquiries   Australian Digital Health Agency Help Centre

       Phone   1300 901 001

       Email   help@digitalhealth.gov.au

Product or document version history

Product or document version	Date	Release comments
v1.0		First release

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1 Introduction

1.1 Purpose

This document summarises the requirements for producing systems of Aged Care Support Plan (ACSP) documents that connect to the My Health Record system.

This document lists the specific conformance requirements for ACSP documents that are in addition to the Common Conformance Profile for Clinical Documents [AGENCY2017a]. Together, both documents represent the complete conformance requirements for the ACSP documents.

1.2 Intended audience

The intended audience includes the following organisations:

- Department of Health and Aged Care
- healthcare providers and
- developers and software providers of connecting systems.

1.3 Scope

The scope of this conformance profile is the use of ACSP documents in the context of the My Health Record system.

An ACSP document produced by the Department of Health and Aged Care as a registered repository operator.

1.4 Overview

The ACSP document is a clinical document type in the My Health Record system. It is introduced as a new document type for My Health Record in 2024 for healthcare individuals who have undergone a Support at Home assessment operated by the Department of Health and Aged Care (DoHAC).

An ACSP might contain care and support plan information, such as identified health concerns, problems related to the healthcare individual, goals and strategies, recommended support activities, procedures, and services to be provided.

The ACSP document will be made available in My Health Record so that healthcare providers and individuals can access the information via the My Health Record system. This document is intended to reduce the need for paper documents provided by the healthcare individual. It will also reduce the risks of document misplacement or forgetfulness during health appointments. Additionally, it will enhance information exchange between healthcare providers and individuals by making information discoverable and accessible.

1.5   Relevant specifications

Related specifications are listed below:

1. Common Conformance Profile for Clinical Documents [AGENCY2017a] - provides common Conformance Requirements which must be adhered to unless specifically overridden in this document.
2. Core Level One Clinical Document Structured Content Specification [AGENCY2018a] - specifies the data elements permissible in the clinical document at a logical level.
3. Core Level One Clinical Document CDA Implementation Guide [AGENCY2018b] - specifies the mapping from the structured content specification into a clinical document using an HL7 CDA structure.

The Core Level One Clinical Document Structured Content Specification [AGENCY2018a] and CDA Implementation Guide [AGENCY2018b] are generic, not specific to aged care support plans, and not fit for purpose without additional implementation guidance.

2 Conformance requirements for producing system

The following requirements apply to the generation of an aged care support plan for the My Health Record system.

2.1 Objects of conformance

ACSP-005	**Allowed types of producing systems**
	The document SHALL only be produced by the Department of Health and Aged Care in their role as registered repository operator.
Priority	Mandatory
Additional Notes	It is only appropriate for this producing system to make the ACSP documents available in the My Health Record system.
	It should not be assumed that other registered repositories will be allowed to author and generate ACSP documents into the My Health Record.
Type	Conformance

ACSP-010	**Disallowed types of producing systems**
	The document SHALL NOT be produced by a:

- clinical information system
- contracted service provider system
- registered consumer portal
- registered provider portal
- registered portal operator (mobile gateway); or
- registered repository operator other than DoHAC.

Priority	Mandatory
Additional Notes	The risk profile for these types of producing systems has not been assessed. It is not appropriate for these producing systems to generate ACSP documents until that risk profile is understood.
Type	Conformance

2.2   Requirements for ACSP documents

ACSP-015	**Document conformance level**
	The document SHALL conform to the requirements for conformance level 1A as defined in the Common Conformance Profile for clinical documents [AGENCY2017a].
Priority	Mandatory
Additional Notes	This requirement ensures the document meets the requirements of the document conformance level.
	Consistent with other existing My Health Record documents.
Type	Conformance

ACSP-020	**Inclusion of PDF attachment**
	The document SHALL reference one and only one PDF attachment of the aged care support plan document.
Priority	Mandatory
Additional Notes	This requirement enables the receiving healthcare provider to access and view the attachment to support the provision of care for the individual.
	Until the document can be represented with structured data it should only be made available in the My Health Record system in PDF format to ensure that the presentation and rendering of the data is as expected by the authoring organisation.
	The PDF file is expected to be viewable by the healthcare individuals and any healthcare provider that are authorised to review the ACSP in the individual's My Health Record. For example, the aged care support plan document PDF files should not have any of these features:

- encryption
- password protection
- printing or copying restriction
- embedded fonts (as not all PDF viewers support them).

	Refer to requirement 027730 in the Common Conformance Profile for Clinical Documents [AGENCY2017a].
Type	Conformance

ACSP-025   **Demographic details of the healthcare individual**

The producing system SHOULD include the demographic details of the healthcare individual on every page of the PDF attachment.

Priority   Recommended

Additional Notes   This requirement ensures the aged care support plan PDF document is being viewed within the correct individual's My Health Record. This requirement mitigates the risk of individual identification errors.

The demographic details of the healthcare individual include the first name (if applicable), family name, date of birth and sex.

Type   Conformance

ACSP-030   **Assessor's name**

The producing system SHALL include the assessor's name in the attached ACSP PDF.

Priority   Mandatory

Additional Notes   This requirement enables the healthcare individual and provider to contact the assessor if needed.

Type   Conformance

ACSP-035   **Support person's name**

If the assessment was conducted with the carer(s) or support person(s) who consented to record their name(s) then the producing system SHALL include that person's name(s) in the attached PDF.

Priority   Conditional

Additional Notes   This requirement enables the healthcare provider to contact an alternate person to assist the provision of care for the individual. The healthcare individual might not be able to communicate with the healthcare provider directly.

The healthcare individual might have a relative, carer, responsible person, power of attorney or next of kin etc present during the assessment. Recording the names of these people, with consent, provides an extra contact point for healthcare providers.

Other individuals might be a carer or a family member of the healthcare individual.

Type   Conformance

ACSP-040   **Electronic communication details of the assessor**

The producing system SHOULD include the electronic communication details of the assessor in the attached ACSP PDF.

Priority   Recommended

Additional Notes   This requirement enables the healthcare individual and provider to contact the accessor if needed.

Type   Conformance

2.3   Application of Core Level One Clinical Document specifications

The Core Level One Clinical Document is an open specification that requires constraints to make the ACSP fit for purpose. The requirements in this section constrain the Core Level One Clinical Document CDA Implementation Guide [AGENCY2018b] and should be considered during the software design stage.

The following requirements include their logical model path as derived from the 'Structured Content Specification (SCS) Data Component' values in the Core Level One Clinical Document CDA Implementation Guide [AGENCY2018b] (e.g. Subject of care > Participant). The XPath indicates the Clinical Document Architecture (CDA) schema element(s) to which conformance requirements are applied.

ACSP-045   **PDF attachment MIME type**

The MIME type of the PDF attachment SHALL be of .pdf application/pdf MIME type.

Priority   Mandatory

Additional Notes   This requirement specifies the MIME type of the document to be a PDF attachment.

It overrides Requirement 023741 in the Common Conformance Profile for clinical documents [AGENCY2017a].

Type   Technical

ACSP-050   **ACSP Document type**

The producing system SHALL use:
- the code "100.32052" in the code attribute of the code element of the clinical document;
- the OID in the codeSystem attribute of the code element of the clinical document SHALL be "1.2.36.1.2001.1001.101".

Priority   Mandatory

Additional Notes   This requirement specifies the document type code and code system for the aged care support plan document type.

The XPath is: ClinicalDocument/code

Type   Technical

ACSP-055   **ACSP Document type display name**

The producing system SHOULD include the value "Aged Care Support Plan" in the displayName attribute of the code element of the clinical document.

Priority   Recommended

Additional Notes   This requirement supports future proofing of the document type display name as terminology may change over time.

The XPath is: ClinicalDocument/code

Type   Technical

ACSP-060   **ACSP Document title**

The producing system SHALL instantiate one and only one document title, and the title element value of the clinical document SHALL be "Aged Care Support Plan".

Priority   Mandatory

Additional Notes   This requirement specifies the document title for the ACSP document type and ensure the consistent rendering of the document title in the My Health Record system.

The XPath is: ClinicalDocument/title

Type   Technical

ACSP-065	**Clinical document template identifier**
	The producing system SHALL include the templateId element:
	• the root attribute of the templateId element SHALL be "1.2.36.1.2001.1001.100.1002.32052"; and
	• the extension attribute of the templateId element SHALL be "1.0".
Priority	Mandatory
Additional Notes	This requirement specifies the document template for the ACSP document type.
	This templateId is used for document conformance and validation purposes
	This is in addition to the templateId specified in the Core Level One Clinical Document CDA Implementation Guide.
	The XPath is: ClinicalDocument/templateId
Type	Technical

ACSP-070	**Other document template identifiers**
	When instantiating the templateId, the producing system SHALL include the templateId elements of the following:
	• Rendering Specification document
	The root attribute of the templateId element SHALL be "1.2.36.1.2001.1001.100.149" and the extension attribute SHALL be "1.0" and
	• CLOCD CDA Implementation Guide
	The root attribute of the templateId element SHALL be "1.2.36.1.2001.1001.100.1002.218" and the extension attribute SHALL be "1.1" and
	The templateId OID value of template package to which the CDA document is claiming conformance SHALL be present in root attribute of ClinicalDocument/templateId.
Priority	Mandatory
Additional Notes	This requirement ensures the document meets the requirements of the document conformance level.
	Consistent with other existing My Health Record documents.
	The XPath is: ClinicalDocument/templateId
Type	Technical

ACSP-075 **Referencing aged care support plan PDF attachment**

The document SHALL contain the PDF (see requirement ACSP-020**)** in ENCAPSULATED DATA with:

"templateId/@root="1.2.36.1.2001.1001.101.102.16883" under section with "templateId/@root="1.2.36.1.2001.1001.101.101.16886".

Priority Mandatory

Additional Notes This requirement is to uniquely identify the inclusion of the attachment. The document may contain other attachments (e.g. logos) that do not contain aged care support plan information.

The XPath is: ClinicalDocument/component/structuredBody/component/section/entry/observationMedia/value/reference

Type Technical

2.3.1 Cardinality

These requirements override the attribute cardinality specified in the Core Level One Clinical Document CDA Implementation Guide [AGENCY2018b].

2.3.1.1 Healthcare individual

The healthcare individual is sometimes called "subject of care", "individual", "consumer", "healthcare recipient" or "patient".

ACSP-080 **Healthcare individual identifier**

The producing system SHALL instantiate an Individual Healthcare Identifier (IHI) of the healthcare individual.

- Subject of care > Participant > Entity identifier

Priority Mandatory

Additional Notes This requirement mandates the inclusion of the individual's IHI to enable interoperability, eliminate ambiguity and support the indexing of clinical documents. If an IHI is not available, then the individual's digital health record cannot be identified.

The XPath is: ClinicalDocument/recordTarget/patientRole/patient/ext:asEntityIdentifier

Type Technical

ACSP-085	**Healthcare individual address**
	If instantiating the healthcare individual address:

- Subject of care > Participant > Address

The producing system SHALL instantiate:

- an address (of any type), OR
- a nullFlavor (of any type), OR
- an address (of any type) AND a nullFlavor (of any type except 'MSK').

Priority	Conditional
Additional Notes	This requirement enables consistent and correct identification of the individual.

It also provide consistency with existing My Health Record clinical documents. The author is permitted to not include the individual's residential address due to privacy or safety concerns.

It enables the continuity of care and future research and public health/data analysis.

The document author is permitted to suppress or not include the individual's residential address through the use of nullFlavor. If the address is known, but not included in the document, then it is preferred the MSK nullFlavor is used. The masking of the address may be used when there are patient privacy or safety concerns.

It is prohibited to include a MSK nullFlavor AND an address because a masked address should not be available in the CDA/XML document.

Other nullFlavors are also permitted.

The XPath is: ClinicalDocument/recordTarget/patientRole/addr

Type	Technical

2.3.1.2 Document author

ACSP-090   **Document author**

The producing system SHALL instantiate the document author as a device.

- Document Author > Participant > Person or Organisation or Device > Device

Priority   Mandatory

Additional Notes   This requirement specifies the document author must be instantiated as a device.

It prohibits the document author as a person in the CDA implementation guide.

The XPath is: ClinicalDocument/author

Refer to the CLOCD CDA Implementation Guide [AGENCY2018b] for instantiating the Document Author as a device.

Type   Technical

ACSP-095   **Document author workplace address**

If instantiating the document author's workplace address:

- Document author > Participant > Address

The producing system SHALL instantiate the address as:

- an Australian address.

Priority   Conditional

Additional Notes   This requirement restricts the document author's workplace address to be an Australian address and prohibits an international address.

The XPath is: ClinicalDocument/author/assignedAuthor/addr

Type   Technical

ACSP-100   **Document author workplace address type**

If instantiating the document author's workplace address:

- Document author > Participant > Address

The producing system SHALL instantiate one of the following document author's workplace address types:

- WP (Workplace)
- PST (Postal Address)
- TMP (Temporary Address)

Priority   Conditional

Additional Notes   This requirement restricts the address use attributes of the workplace address in the CDA implementation guide.

The XPath is: ClinicalDocument/author/assignedAuthor/addr/@use

Type   Technical

ACSP-105   **Document author workplace electronic communication details**

If instantiating the author's workplace electronic communication details:

- Document author > Participant > Electronic communication detail

The producing system SHALL instantiate one of the following document author's workplace electronic communication types:

- WP (Workplace)
- AS (Answering Service)
- EC (Emergency Contact)
- MC (Mobile Contact)

Priority   Conditional

Additional Notes   This requirement restricts the telecom use attributes of the workplace electronic communication details in the CDA implementation guide.

The XPath is:

ClinicalDocument/author/assignedAuthor/telecom/@use

Type   Technical

ACSP-110   **Document author identifier**

The producing system SHALL instantiate a My Health Record Assigned Identifier – Device (PAI-D) of the document author.

- Document Author > Participant > Entity Identifier

Priority   Mandatory

Additional Notes   This requirement mandates the inclusion of the document author PAI-D to help interoperability and eliminate ambiguity.

The XPath is: ClinicalDocument/author/assignedAuthor/assignedAuthoringDevice/ext:asEntityIdentifier

Type   Technical

2.3.1.3   Custodian

ACSP-115   **Custodian organisation identifier**

The producing system SHALL instantiate My Health Record Assigned Identifier – Organisation (PAI-O) of the custodian organisation.

Priority   Mandatory

Additional Notes   This requirement mandates the inclusion of the Custodian organisation PAI-O to enable interoperability, eliminate ambiguity and support the indexing of clinical documents.

The XPath is: ClinicalDocument/custodian/assignedCustodian/representedCustodianOrganization/ext:asEntityIdentifier

Type   Technical

2.3.1.4 Primary healthcare provider

ACSP-120	**Primary healthcare provider code**

If instantiating the primary healthcare provider, the producing system SHALL instantiate a typeCode of "PART" and a functionCode of "PCP" for the primary healthcare provider:

- ClinicalDocument/participant/@typeCode="PART"

- ClinicalDocument/participant/functionCode/@code="PCP"

Priority	Conditional
Additional Notes	To represent the primary healthcare provider participant and provide further guidance on the generic participant provided in the CDA implementation guide.
Type	Technical

ACSP-125	**Primary healthcare provider workplace address**

If instantiating the primary healthcare provider's workplace:

- Participant > Participant > Address

The producing system SHALL instantiate at most one of the primary healthcare provider workplace address, and that workplace address SHALL contain one of the following workplace address types:

- WP (Work Place)

- PST (Postal Address)

- TMP (Temporary Address)

Priority	Conditional
Additional Notes	This requirement restricts the inclusion of at most one primary healthcare provider's workplace address and the use of workplace address types in the CDA implementation guide.
	Consistent with other MHR clinical documents.
	The XPaths are:
	ClinicalDocument/participant[part_person]/associatedEntity/addr/@use
	ClinicalDocument/participant[part_org]/associatedEntity/addr/@use
Type	Technical

ACSP-130	**Primary healthcare provider workplace electronic communication details**
	If instantiating the primary healthcare provider's workplace electronic communication details:
	• Participant > Participant > Electronic communication detail
	The producing system SHALL instantiate one of the following workplace electronic communication types:
	• WP (Workplace)
	• AS (Answering Service)
	• EC (Emergency Contact)
	• MC (Mobile Contact)
Priority	Conditional
Additional Notes	This requirement restricts the telecom use attributes of the workplace electronic communication details in the CDA implementation guide.
	Consistent with other MHR clinical documents.
	The XPaths are:
	ClinicalDocument/participant[part_person]/associatedEntity/telecom/@use
	ClinicalDocument/participant[part_org]/associatedEntity/telecom/@use
Type	Technical

ACSP-135	**Primary healthcare provider identifier**
	If instantiating the primary healthcare provider identifier:
	• Participant > Participant > Entity identifier
	The producing system SHOULD instantiate a Healthcare Provider Identifier for Individual (HPI-I) of the primary healthcare provider.
Priority	Recommended
Additional Notes	This requirement encourages the inclusion of Healthcare Provider Identifier-Individual (HPI-I) of the primary healthcare provider as a person.
	Consistent with other MHR clinical documents.
	The XPath is: ClinicalDocument/participant[part_person]/associatedEntity/associatedPerson/ext:asEntityIdentifier
Type	Technical

ACSP-140   **Primary healthcare provider organisation name**

If instantiating the primary healthcare provider as a person, the producing system SHALL instantiate the name of the organisation the primary healthcare provider is representing.

- Participant > Participant > Person or Organisation or Device > Person > Employment Detail > Employer Organisation > Organisation > Organisation Name

Priority   Conditional

Additional Notes   This requirement mandates the name of the organisation the primary healthcare provider is representing.

Consistent with other MHR clinical documents.

The XPath is:

ClinicalDocument/participant[part_person]/associatedEntity/associatedPerson/ext:asEmployment/ext:employerOrganization/asOrganizationPartOf/wholeOrganization/name

Type   Technical

ACSP-145   **Primary healthcare provider organisation identifier**

If instantiating the primary healthcare provider, the producing system SHOULD instantiate a Healthcare Provider Identifier for Organisation (HPI-O) the primary health provider is representing at the time of authoring the document.

- Participant > Participant > Person or Organisation or Device > Person > Employment Detail > Employer Organisation > Entity Identifier

- Participant > Participant > Entity Identifier

Priority   Recommended

Additional Notes   This requirement encourages the inclusion of Healthcare Provider Identifier-Organisation (HPI-O) of the organisation the primary healthcare provider is representing for the My Health Record system.

Consistent with other MHR clinical documents.

The XPaths are:

ClinicalDocument/participant[part_person]/associatedEntity/associatedPerson/ext:asEmployment/ext:employerOrganization/asOrganizationPartOf/wholeOrganization/ext:asEntityIdentifier

ClinicalDocument/participant[part_org]/associatedEntity/scopingOrganization/asOrganizationPartOf/wholeOrganization/ext:asEntityIdentifier

Type   Technical

2.3.2 Value sets

These requirements override the value sets specified in the Core Level One Clinical Document CDA Implementation Guide [AGENCY2018b], or specify a value set where none was provided.

ACSP-150	**Individual indigenous status**
	The indigenous status value SHALL be one of the values from Australian Indigenous Status value set and the code system OID SHALL be: 1.2.36.1.2001.1004.200.10012.
	• Subject of care > Participant > Person or Organisation or Device > Person > Demographic data > Indigenous status
Priority	Mandatory
Additional Notes	This requirement overrides the deprecated and historical METeOR indigenous status value set in the CDA implementation guide.
	The Australian Indigenous Status value set can be found here: https://www.healthterminologies.gov.au/integration/R4/fhir/ValueSet/australian-indigenous-status-1
	The XPath is: ClinicalDocument/recordTarget/patientRole/patient/ethnicGroupCode
Type	Technical

ACSP-155	**Healthcare provider role**
	The healthcare provider role value SHOULD be one of the values from the Practitioner Role value set, or this MAY be a nullFlavor attribute.
	• Participant > Role
Priority	Recommended
Additional Notes	This requirement overrides the ANZSCO - Australian and New Zealand Standard Classification of Occupations value set in the CDA implementation guide.
	This requirement aligns with HL7 AU standard for practitioner role terminology (https://build.fhir.org/ig/hl7au/au-fhir-base/StructureDefinition-au-practitionerrole.html).
	The Practitioner Role value set can be found here: https://healthterminologies.gov.au/fhir/ValueSet/practitioner-role-1
	The XPath is:
	ClinicalDocument/participant[part_person]/associatedEntity/code
Type	Technical

Acronyms

Acronym	Description
ACSP	Aged Care Support Plan
CIS	Clinical Information System
CSP	Contracted Service Provider
CDA	Clinical Document Architecture
CLOCD	Core Level One Clinical Document
HL7	Health Level 7
HPI-I	Healthcare provider identifier - individual
HPI-O	Healthcare provider identifier - organisation
IHI	Individual healthcare identifier
METeOR	Metadata Online Registry
OID	Object Identifier
PAI-D	My health record Assigned Identifier - Device
PAI-O	My health record Assigned Identifier - Organisation
SCS	Structured Content Specification

Glossary

Term	Meaning
clinical information system (CIS)	A system that deals with the collection, storage, retrieval, communication and optimal use of health-related data, information, and knowledge. A clinical information system may provide access to information contained in an electronic health record, but it may also provide other functions such as workflow, order entry, and results reporting.
conformance	A measurement (by testing) of the adherence of an implementation to a specification or standard.
conditional	Conditional priority is mandatory requirement where implementation is subject to the specified condition being met.
healthcare provider identifier - individual (HPI-I)	A unique 16-digit number used to identify providers who deliver healthcare in the Australian healthcare setting.
healthcare provider identifier - organisation (HPI-O)	A unique 16-digit number used to identify organisations who deliver care in the Australian healthcare setting.
healthcare individual	An individual who is, or could be, the subject of care in the context of a healthcare event.
individual healthcare identifier (IHI)	A 16-digit unique number used to identify individuals who receive care in the Australian healthcare system.
producing system	A software system that has the role of generating and issuing conformant clinical documents suitable for use by other digital health participants.
registered consumer portal	A third-party portal used by consumers to access information on the My Health Record system that is registered with the My Health Record system as a registered portal operator.
registered provider portal	A third-party portal used by healthcare providers to access information on the My Health Record system that is registered with the My Health Record system as a registered portal operator.
repository	A third-party repository used to store clinical documents and other clinical data that connects to the My Health Record system. A repository may store clinical documents in either a proprietary format or a CDA format.
SHALL	This word, or the term REQUIRED or Mandatory, means that the statement is an absolute requirement of the specification. *Source: Network Working Group, 1997, RFC2119 - Key words for use in RFCs to Indicate Requirement Levels.*
SHALL NOT	This phrase means that the statement is an absolute prohibition of the specification. *Source: Network Working Group, 1997, RFC2119 - Key words for use in RFCs to Indicate Requirement Levels.*

Term	Meaning
SHOULD	This word, or the term RECOMMENDED, means that there may exist valid reasons in particular circumstances to ignore a particular item, but the full implications must be understood and carefully weighed before choosing a different course. *Source: Network Working Group, 1997, RFC2119 - Key words for use in RFCs to Indicate Requirement Levels.*
subject of care	One or more persons scheduled to receive, receiving, or having received a health service [ISO/TC 215:2005].
template package	The set of files that describe the structure, validation and rendering for a template, along with supporting documentation.

References

[AGENCY2017a]   *Common Conformance Profile for Clinical Documents*, v1.7, Australian Digital Health Agency, 2017

[AGENCY2018a]   *Core Level One Clinical Document Structured Content Specification*, v1.1, Australian Digital Health Agency, 2018

[AGENCY2018b]   *Core Level One Clinical Document CDA Implementation Guide,* v1.1, Australian Digital Health Agency, 2018